

NORTH YORKSHIRE COUNTY COUNCIL

AUDIT COMMITTEE

18 April 2013

PROGRESS ON ISSUES RAISED BY THE COMMITTEE

**Joint Report of the Corporate Director – Strategic Resources
and the Assistant Chief Executive (Legal and Democratic Services)**

1.0 PURPOSE OF THE REPORT

1.1 To advise Members of

- (i) progress on issues which the Committee has raised at previous meetings
- (ii) other matters that have arisen since the last meeting and that relate to the work of the Committee

2.0 BACKGROUND

2.1 This report is submitted to each meeting listing the Committee's previous Resolutions and / or when it requested further information be submitted to future meetings. The table below represents the list of issues which were identified at previous Audit Committee meetings and which have not yet been resolved. The table also indicates where the issues are regarded as completed and will therefore not be carried forward to this agenda item at the next Audit Committee meeting.

Date	Minute number and subject	Audit Committee Resolution	Comment	Complete?
06/12/12	253 – Members' Blackberries	That the Corporate Director, Strategic Resources clarify the situation regarding the use of passwords on Members' Blackberries	Members' Blackberries were recalled and passwords made mandatory.	✓
06/12/12	250 – County Council minibuses parked in Little Red Bus Depot	That the Assistant Director, Resources, Performance and Improvement, Business and Environmental Services Directorate investigate the situation regarding North Yorkshire County Council minibuses parked at the Little Red Bus premises at Saltergate.	Assistant Director, BES tasked with following up.	✓
07/03/2013	258 - Treasury Management Training	That the Corporate Director, Strategic Resources make arrangements for Sector to provide training for Audit	Discussions have taken place with Sector who are happy to carry out training for the Audit Committee.	X

Date	Minute number and subject	Audit Committee Resolution	Comment	Complete?
		Committee Members after County Council elections concerning Treasury Management	Dates are being worked up and final arrangements will take place once membership of the Audit Committee has been determined following County Council elections	
07/03/2013	258 – supplementary questions relating to Treasury Management and Investment Strategy 2013/14	That the Corporate Director, Strategic Resources provide further detail in respect of two questions raised by Members.	Written answers were provided to each of the Members of the Audit Committee and the correspondence was then shared with the wider Membership of the Audit Committee.	✓
07/03/2013	263 – Assurance Maps for One Council Workstreams	No formal resolution made but the Audit Committee enquired about the status of the Assurance Mapping work.	Work continues on this Audit. A verbal update will be available at the Audit Committee meeting on 18 April.	?
07/03/2013	264 – Training Seminar on Procurement	The training seminar on 27 June 2013 be on the issue of Procurement	Arrangements are being put in place for the relevant Assistant Director and North Yorkshire Procurement Service to attend the training seminar in order to give a general overview on the County Council's approach to Procurement. The Forward Plan has been amended accordingly.	✓
07/03/2013	267 – Service Continuity progress	That an update be ordered by Veritua of the Service Continuity Plan scheduled for May 2013 be submitted to a future meeting of this Committee.	An Audit Committee meeting date has yet to be decided.	X
07/03/2013	268 – Discussion between Audit Committee and Head of Internal Audit	The annual discussion between Members and the Head of Internal Audit was scheduled for immediately prior to the Committee meeting on 26 September 2013.	Forward Plan amended accordingly.	✓

3.0 TREASURY MANAGEMENT

- 3.1 Under its Terms of Reference, the Committee takes an active role in monitoring the Treasury Management (TM) activity of the County Council.
- 3.2 The last meeting of the Audit Committee was on 7 March 2013 and there are no further significant developments to report in relation to Treasury Management. As identified elsewhere in this report, training arrangements are being made for the Audit Committee following the next County Council elections. A verbal update will be provided should any issues emerge in the interim.

4.0 RATIONALISATION OF SYSTEMS AND DATA

- 4.1 The Committee, on 29 September 2011, resolved "That a report be submitted to each future meeting of the Committee to advise of progress in rationalising the management of ICT systems and of migrating only those systems supported by Corporate ICT to Microsoft". An update on progress is provided as part of this report rather than create a specific Agenda item.
- 4.2 Again given the recent timing of the last Audit Committee there are no further significant developments to report at this stage. The rollout of Microsoft will have been completed by the time of the next Audit Committee meeting thereby provided a better opportunity to give an overall update.

5.0 RECOMMENDATION

- 5.1 That the Committee considers whether any further follow-up action is required on any of the matters referred to in this report.

GARY FIELDING
Corporate Director – Strategic Resources

CAROLE DUNN
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
NORTHALLERTON

08 April 2013

Background Documents:

Report to, and Minutes of, Audit Committee meeting held on 7 March 2013